MSX Securities LLC
25 Edelman, Suite 220
Irvine, CA 92618

MSX Securities Privacy Policy

Last updated October 8, 2026

Thank you for choosing MSX Securities LLC ("MSX Securities," "we," "us," or "our"). We are committed to protecting your personal information and privacy. We maintain administrative, technical, and physical safeguards reasonably designed to protect customer records and information in accordance with applicable federal standards, including SEC Regulation S-P and FINRA requirements.

This notice explains how we collect, use, disclose, and protect information when you visit our Website or use our Services. It also describes your privacy rights and choices. If you do not agree with this notice, please discontinue use of the Services.

If you have questions about this notice or our privacy practices, contact us at support@msx.us.

This privacy notice describes how we collect, use, and disclose your information when you:

- Visit our website at www.msx.us; or
- Otherwise interact with us in connection with our Services.

For purposes of this privacy notice:

- "Website" means any website we operate that links to this notice.
- "Services" means the Website and any related services we provide.

1. WHAT INFORMATION DO WE COLLECT?

We may collect the following categories of nonpublic personal information:

- **Account information**: Information you provide when opening or maintaining an account, including your name, address, marital status, email address, telephone number, Social Security number, government-issued identification information, income, net worth, investment objectives, and risk tolerance.
- **Account activity**: Information about transactions and positions in your accounts.

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- **Communications**: Written, telephone, and electronic communications between you and MSX Securities or our service providers.
- **Device and usage information**: IP addresses, device identifiers, and information about how you interact with our Website and Services.
- **Third-party information**: Information obtained from companies that assist us with identity verification and fraud prevention.

2. HOW DO WE USE YOUR INFORMATION?

We use personal information for the business purposes described below. Depending on the circumstances, we process this information to perform our contract with you, comply with legal obligations, pursue legitimate business interests, or obtain your consent.

- **Open and manage accounts:** We use your information to verify your identity and open, maintain, and administer your account.
- **Request feedback:** We may contact you for feedback about your use of the Website or Services.
- **Provide personalized advertising:** We may use your information, and work with third parties, to develop and display content and advertising tailored to your interests or location and to measure its effectiveness.

3. WILL YOUR INFORMATION BE SHARED?

We disclose personal information only as described in this notice, including with your consent, as needed to provide the Services, to meet legal or contractual obligations, or to protect rights and safety.

- **Service providers:** We may disclose nonpublic personal information to unaffiliated service providers that help us open, maintain, or service your account, as permitted by law.
- **Consent:** We may use or disclose information for a specific purpose with your consent.
- **Legitimate business interests:** We may process information when reasonably necessary to pursue legitimate business interests.
- **Contractual obligations:** We may process information to perform our obligations under a contract with you.

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- **Legal obligations:** We may disclose information when required by applicable law, a governmental request, a subpoena, a court order, or another legal process.
- **Fraud prevention, safety, and legal claims:** We may disclose information to investigate or prevent suspected fraud, illegal activity, policy violations, or threats to a person's safety, or to establish, exercise, or defend legal claims.

We retain personal information only for as long as reasonably necessary to provide the Services, fulfill the purposes described in this notice, comply with legal and regulatory obligations, resolve disputes, and enforce our agreements.

In connection with our clearing broker, we use Plaid Inc. ("Plaid") to obtain financial account information from your financial institution. By using this feature, you authorize MSX Securities and Plaid to access and transmit that information on your behalf. Plaid transfers, stores, and processes the information in accordance with its end-user privacy policy

4. COOKIES AND SIMILAR TECHNOLOGIES

We use cookies and similar technologies to support Website functionality, analyze usage, and conduct marketing. Most browsers accept cookies by default, but you can change your browser settings to block or delete them. Blocking or deleting cookies may affect certain Website features or Services.

5. DO-NOT-TRACK

Our Services are not directed to children under 18 and are intended only for individuals legally eligible to open brokerage accounts. If we learn that we have collected personal information from a child under 18, we will take appropriate steps to delete it, subject to applicable legal and regulatory requirements.

6. CHILDREN'S PRIVACY

Our Services are not directed to children under 18 and are intended only for individuals legally eligible to open brokerage accounts. If we learn that we have collected personal information from a child under 18, we will take appropriate steps to delete it, subject to applicable legal and regulatory requirements.

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7. CALIFORNIA PRIVACY RIGHTS

If you are a California resident, this section describes the personal information we collect and the rights available to you under the California Consumer Privacy Act ("CCPA"), subject to applicable limitations and exceptions. These rights may include the right to:

- Request information about the categories and specific pieces of personal information we collect;
- Opt out of the sale or sharing of personal information, if applicable. MSX Securities does not sell personal information;
- Request correction or deletion of personal information, subject to applicable exceptions; and
- Exercise your CCPA rights without unlawful discrimination.

To exercise a CCPA right, contact Customer Service at support@msx.us. We may verify your request by asking for information that matches our records. You may authorize an agent to submit a request on your behalf, but we may require proof of the agent's authority and may ask you to verify your identity directly.

8. UPDATES TO THIS NOTICE

We may update this notice periodically. The "Last updated" date at the beginning identifies the most recent revision. We may communicate material changes through a prominent notice or direct notification. We encourage you to review this notice periodically.

9. PRIVACY SETTINGS.

You may update certain profile information through your MSX Securities account settings. To stop receiving promotional emails, select the "unsubscribe" link in the message. We may continue to send non-promotional communications, including account notifications, receipts, security notices, and other transactional or relationship messages.

10. CONTACT US

If you have questions or comments about this notice, email support@msx.us or write to:

MSX Securities LLC
Attn: Compliance Department
25 Edelman, Suite 220
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