

MSX Securities LLC
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CUSTOMER IDENTIFICATION PROGRAM

MSX Securities LLC is a broker-dealer registered with the U.S. Securities and Exchange Commission and member of the Financial Industry Regulatory Authority, Inc. ("FINRA"). United States regulations require financial institutions, including broker-dealers, to establish written customer identification programs ("CIP").

Financial institutions must use reasonable diligence in order to know the essential facts concerning each of their customers. Essential facts are those required to:

- Effectively service the customer's account;
- Follow any special handling instructions for the account;
- Understand the authority of each person acting on behalf of the customer, and;
- Comply with applicable laws, regulations, and rules.

In order for a broker-dealer to learn the essential facts for each customer, the broker-dealer is required:

- To obtain customer identifying information from each customer prior to account opening;
- Verify the identity of each customer, to the extent reasonable and practicable, within a reasonable time before or after account opening;
- To make and maintain a record of information obtained relating to identity verification;
- Determine whether a customer appears on any list of known or suspected terrorist organizations designated by Treasury within a reasonable time after account opening or earlier; and
- Provide each customer with adequate notice, prior to opening an account, that information is being requested to verify the customer's identity.

When you open an account with MSX Securities LLC, we will ask you for information and various documents that assist us in identifying you. If you have any questions or

problems with the account opening process you may contact MSX Securities LLC at support@msx.us. See FINRA's website at the following link for additional information regarding CIPs: Customer Identification Program Notice | FINRA.org.