

MSX Securities LLC
25 Edelman, Suite 220
Irvine, CA 92618

Business Continuity Plan Customer Disclosure Statement

MSX Securities LLC ("MSX Securities," the "Firm," "we," "us," or "our") has developed a Business Continuity Plan ("BCP") to guide our response to events that significantly disrupt our business operations. Because the timing, nature, and impact of these events are unpredictable, our response will depend on the circumstances of each disruption.

Contacting Us – If, after a significant business disruption, you cannot contact us as you usually do, we will ensure that information related to the disruption is made available on the Firm's website at www.msx.us and may also be communicated through any social media accounts we may maintain. You may also contact us by email at support@msx.us.

Our Business Continuity Plan – We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the Firm's books and records, and allowing our customers to transact business. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Our business continuity plan addresses: data backup and recovery; all mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternate physical location of employees; critical supplier, contractor, bank and counterparty impact; regulatory reporting; and assuring customers prompt access to their funds and securities if we are unable to continue our business.

Our clearing firm, Alpaca Securities LLC ("Alpaca") has the ability for real-time backup capability of our important records in a geographically separate area. While every emergency situation poses unique problems based on external factors, such as time of day and the severity of the disruption, we have been advised by our clearing firm that their objective is to restore their own operations and be able to complete existing transactions and accept new transactions and payments as they occur. Your orders and requests for funds and securities could be delayed during this period.



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Varying Disruptions – Significant business disruptions can vary in their scope, such as those only affecting our firm, a single building housing our firm, the business district where our firm is located, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our firm or a building housing our firm, we plan to transfer our operations to a local site when needed and expect to recover and resume business as quickly as possible.

In a disruption affecting our business district, city, or region, we plan to transfer our operations to a site outside of the affected area and recover and resume business as quickly as possible. If the significant business disruption is so severe that it prevents us from remaining in business, we will take such steps as are necessary to assure our customers' prompt access to their funds and securities.

If the Securities Investor Protection Corporation ("SIPC") determines that we have failed or are in danger of failing to meet our obligations to customers, and the applicable statutory conditions are satisfied, SIPC may apply to a court of competent jurisdiction for a protective decree under the Securities Investor Protection Act of 1970 ("SIPA"). If the court issues a protective decree, it will appoint a trustee for the liquidation of our business, as provided under SIPA. Such a proceeding may arise, among other circumstances, if we are unable to meet our obligations as they mature or are not in compliance with applicable financial responsibility requirements under the Securities Exchange Act of 1934 and the rules thereunder, including Rule 15c3-1, as applicable. In the event of such a proceeding, we will cooperate with the trustee and provide access to our books, records, customer account information and other information reasonably necessary to administer the proceeding, subject to applicable law.

SIPC's contact information is:
Securities Investor Protection Corporation
805 15th Street, NW, Suite 800
Washington DC 20005-2207
202-371-8300
http://www.sipc.org/

For more information, or additional questions about our BCP, you can contact us at

support@msx.us.